

Supramolecular Chemistry

N-Alkyl Ammonium Resorcinarene Chloride Receptors for Guest Binding in Aqueous Environment

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Abstract: Host systems with guest binding ability in water and/or biological fluids are a current challenge in supramolecular host–guest chemistry. Here we present the first syntheses of water-soluble N-ethanol ammonium resorcinarene chlorides (NARCl)s with terminal hydroxyl groups at the upper rim. The NARCl)s possess deep cavities and are shown to bind a variety of guest molecules such as linear and cyclic alkanes, linear halogenated alkanes, and aromatic fluorophores (naphthalene, *p*-(phenylazo)phenol) in water through hydrophobic interactions, as well as 1,4-dioxane (a water

soluble guest) via hydrogen bonds. The receptors are monomeric in aqueous media and form 1:1 host–guest complexes with binding constants of up to 559 M^{-1} in $\text{D}_2\text{O}/\text{MeOD}$ (9:1, v/v) at 298 K. Solid-state analysis in purely organic media, reveals a dimeric 2:2 capsule and a self-included dimer of nanometer dimensions. The host–guest complexes are analyzed in solid state by single-crystal X-ray diffraction and in solution by ^1H NMR and diffusion-ordered NMR spectroscopy.

Introduction

The design and synthesis of molecular receptors with pre-organized cavities for specific guest encapsulation is a widely researched area in supramolecular chemistry.^[1–4] Metal coordination,^[5–9] and hydrogen bonding^[10–13] are widely used in the construction of self-assembled supramolecular containers. However, assemblies driven by the hydrophobic effect has proven to be more difficult to design. Cyclodextrins, paracyclophanes and octa-acid deep-cavity cavitands have been widely used in understanding molecular recognition in aqueous media.^[14–20] Another good example is the huge hexameric assembly of cog-like subunits in aqueous media reported by Shionoya and co-workers.^[21] However, there is still much to learn about supramolecular chemistry in the benign aqueous environment. Receptors capable of guest binding in aqueous

media have the potential of greater biocompatibility utilizing the cohesive force of water.

Capsular assemblies held together by weak interactions such as hydrogen bonds are mostly observed in apolar solvents and usually dissociate with the addition of polar solvents.^[22–24] However, there are some examples of capsular assemblies that survive highly polar environments such as a dimeric pyrogallarene in methanol,^[25] the kinetically stable hydrogen-bonded calixarene capsules outfitted with bulky residues in dimethyl sulfoxide (DMSO),^[26] and the salt-bridged capsules that persist in methanol^[27] and even in water.^[28]

N-alkyl ammonium resorcinarene halides (NARXs),^[29–31] commonly referred to as hydrogen-bonded analogues of covalent cavitands,^[14–20,32] are a family of receptors where a strong intramolecular hydrogen bond between the halides and the ammonium groups lead to a cavitand-type structure. The structure of these receptors is maintained by four spatially fixed halide anions. They possess deeper cavities for guest binding as compared to regular resorcinarenes.^[29–31] These large organic salt receptors are very good multivalent halogen bond acceptors through the halide anions.^[33–36] Several halogen-bonded architectures such as deep-cavity cavitands, dimeric and capsular assemblies have been reported with the NARXs.^[33–36] The binding properties of the NARX receptors can be tuned by changing the upper rim substituents. Recent reports have shown the NARXs to be suitable receptors for neutral guests in organic media. Cooperative binding of amides and diamides by the NARXs in organic media was recently reported.^[37,38]

We hypothesize that, attaching specific hydrophilic functional groups on the upper rim of the NARX receptors with terminal groups that could strongly interact with each other via specific weak interactions, could lead to: (a) water solubility and

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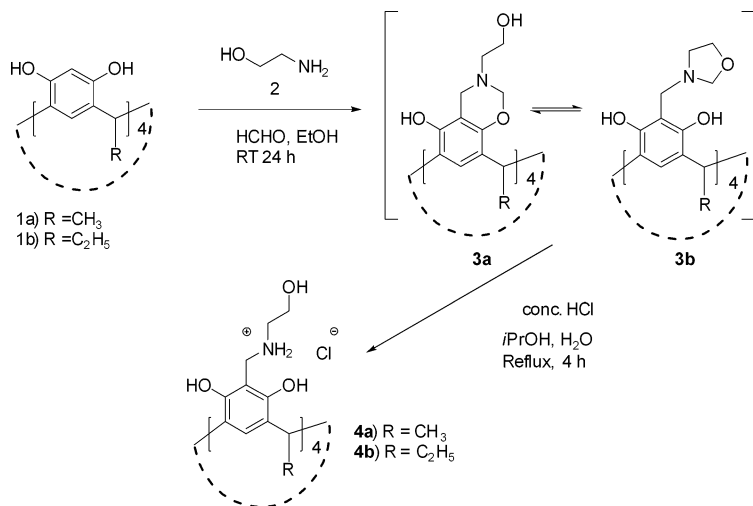
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guest binding via hydrophobic and hydrogen bond interactions; (b) dimeric assemblies analogous to the Rebek^[39] cylindrical capsules.

Herein, we describe the synthesis of two NARClS, functionalized at the upper rim with four terminal hydroxyl groups (Scheme 1). In the solid state via single-crystal X-ray diffraction, a hydrogen-bonded densely packed dimeric cylindrical 2:2



Scheme 1. Synthesis of the *N*-ethanol ammonium resorcinarene chloride (NARCl) receptors **4a** and **4b**.

host-guest capsule of nanometer dimension was obtained from a methanol/chloroform solvent mixture with two encapsulated 1,4-dioxane guests. A series of linear and cyclic alkanes, linear halogenated alkanes and aromatic fluorophores are shown via NMR spectroscopy studies to be suitable guests for the NARCl receptors in aqueous media. The binding of the guests were quantified in aqueous media through a series of ¹H NMR titration studies and supported by diffusion ordered NMR spectroscopy (DOSY NMR).

Results and Discussion

Primary amines and resorcinarenes in the presence of excess formaldehyde react to form tetrabenzoxazines in a Mannich condensation reaction.^[40,41] In the presence of 2-aminoethanol, the formation of six- and five-membered azoxazine rings, **3a** and **3b**, respectively, are possible as depicted in Scheme 1. In the presence of concentrated HCl under refluxing conditions, the five- and six-membered rings are opened, leading to the same final product, the NARClS **4a–b**. ¹H NMR spectroscopy of the NARCl receptors **4a–b** shows that they are symmetrical in solution (see the Supporting Information, Figures S1–S2).

Solid-state analyses through single-crystal X-ray diffraction were carried out to understand the structural details and host-guest binding possibilities of the NARClS in pure organic media. Crystallization of **4a** or **4b** in a MeOH/chloroform (1:1 v/v) mixture with a few drops of 1,4-dioxane gave suitable single crystals. The X-ray single crystal diffraction characterized the structure of **4a** as a self-inclusion dimer (see the Supporting Information, Figure S3) with the help of MeOH molecules,

and the structure of **4b** as a dimeric capsule with two 1,4-dioxane molecules entrapped in the cavity (Figure 1). In both structures, the expected circular hydrogen bond seam (···H(R')N⁺(R'')H···Cl⁻)₄ was partially cleaved. This is probably because of the hydrogen bond competition among the phenolic hydroxyl groups, the upper rim terminal hydroxyl groups and solvent molecules. In the structure of **4a**, one of the upper rim terminal hydroxyl groups of the **4a** molecule lies in the cavity of another **4a** molecule via OH···O, NH···O, and NH···Cl hydrogen bonds, thus resulting in a pseudo-capsular dimer with the help of two MeOH molecules. Six Cl⁻ anions are involved in this dimer, thereby forming a total of 10 OH···Cl and 10 NH···Cl hydrogen bonds. Another two Cl⁻ anions are linked with the hydroxyl groups outwards from the dimer. They additionally extend the structure along crystallographic *b* direction (see the Supporting Information, Figure S3).

In the case of **4b**, a staggered arrangement of the two NARCl **4b** molecules enable the upper rim terminal hydroxyl groups from one NARCl **4b** to directly or indirectly (via H₂O molecule) hydrogen bond with the Cl⁻ anions from the second NARCl **4b** molecule, thus forming the dimeric capsule (Figure 1). The upper rims are distorted due to participation of the water molecules in the encapsulation process. Two ordered 1,4-dioxane guest molecules are located in the cavity of the dimeric capsule. The PLATON^[42] calculated cavity volume of the capsule is around 258 Å³. The volume of 1,4-dioxane is 94.1 Å³, thus (2 × 94.1 Å³)/258 Å³ gives the packing coefficient as 72.9%, a much higher density for organic crystals as compared with the optimal value of 55% ± 0.09 defined by Mecozzi and Rebek.^[43] The multiple classical and non-classical hydrogen bonds, as well as the CH···π interactions between the encapsulated 1,4-dioxane guests and the host molecules contribute to the densely packed capsule.

The two crystal structures reveal a dimeric capsule and a dimeric pseudo-capsule in organic media. These crystals were obtained at extremely high to infinite concentrations. The

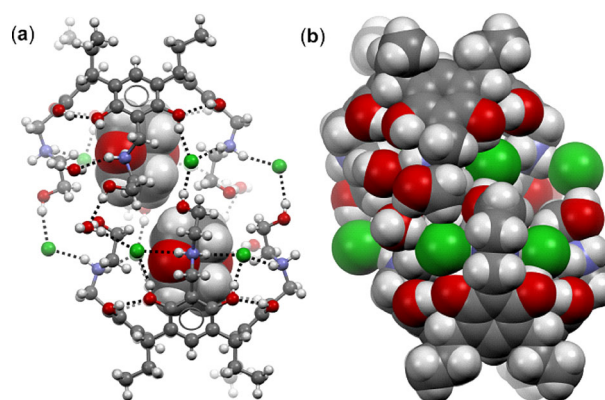


Figure 1. Representation of the hydrogen bonded dimeric capsule (1,4-dioxane)₂@**4b**₂ with two well-ordered guest molecules in the cavity: (a) ball-and-stick representation with CPK model for the guests, (b) CPK model showing the entire capsule.

nature of the host in aqueous medium was investigated via diffusion ordered NMR experiments. Diffusion can be used to determine intermolecular interactions in solution because the diffusion coefficient of a molecular species under specific conditions (e.g. concentration, solvent, temperature etc.) depends on its molecular weight, size, and shape.^[44,45] DOSY experiments are a time average technique which averages out the small asymmetry of the molecules or assemblies. The diffusion coefficient of NARCI **4a** (20 mM) in D₂O/CD₃OD (9:1 v/v) at 298 K was $(0.421 \pm 0.03) \times 10^{-5} \text{ cm}^2 \text{ s}^{-1}$ (see Figure S10 in the Supporting Information). With the diffusion coefficient is known, assuming a spherical molecule, the hydrodynamic radius r for the molecular species can be calculated by using the Stokes–Einstein equation

$$r = k_b T / 6\pi\eta D$$

where k_b is the Boltzmann constant, T is the temperature, η is the viscosity of the medium, and D is the diffusion coefficient of the particle in the given medium. The hydrodynamic radius of **4a** was calculated to be 0.438 nm, giving a diameter of 0.876 nm. The distance calculated from the centroids of the hydrogens at the top and bottom of the crystal structure of **4a** is 0.897 nm. Comparing with the hydrodynamic diameter, though approximate, it can be concluded that the species are mainly monomeric in aqueous media.

Guest binding studies in water by NMR spectroscopy

The binding ability of the NARCI receptors **4a–4b** towards a series of alkanes, halogenated alkanes, and aromatic guests **5–13** (Figure 2) were studied in water by ¹H NMR analyses. These guests are: (a) commercially available and therefore ideal for systematically probing the binding capacity of the hosts, (b) their apolar nature and conformational flexibility implies they can form relatively strong complexes with NARCI in water, (c) the high symmetry of these guests will result in complexes that are relatively easy to interpret by ¹H NMR spectroscopy.

We examined the complexes formed between receptor **4a** and 1,4-dihalogenated butanes **5–7**. The 1,4-dihalogenated butanes are poorly soluble in water. Their solubility decreases in the following order: 1,4-dichlorobutane (**5**) > 1,4-dibromobutane (**6**) > 1,4-diiodobutane (**7**). In the process, pure guests

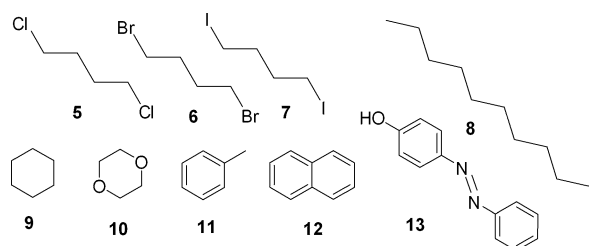


Figure 2. The aliphatic, halogenated, and aromatic guests **5–13**.

were added to a solution of the receptor **4a** in D₂O and the ¹H NMR spectra recorded. The ¹H NMR spectra between guests **5–7** and NARCI **4a** reveal signals that correspond to the bound and free guests (Figure 3). The solubility of the halogen-

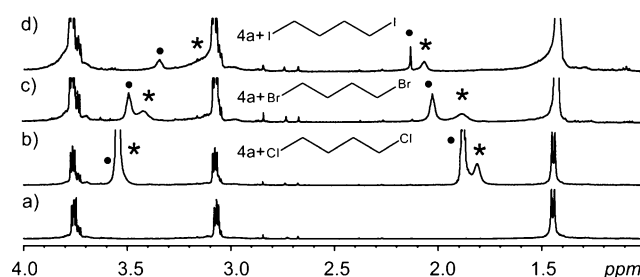


Figure 3. Selected region of the ¹H NMR spectra (D₂O, 298 K) of the mixture between NARCI **4a** (2.5 mM) and guests **5–7**. (a) **4a**, mixtures (1:excess) of: (b) **4a** + **5**, (c) **4a** + **6**, and (d) **4a** + **7**. Stars represent the bound guests while black dots represent the free guests.

ated alkanes decreases from chloro- to iodo-butane, and this could be also seen from their poorly resolved ¹H NMR spectra (see Figures S5–S7 in the Supporting Information). However, in the presence of the NARCI **4a**, two signals for the guests are observed, suggesting both free and bound species. The endo complexes have a stronger anisotropic effect from the electron-rich interior of the host and are thus more shielded (Figure 3).

The linear *n*-decane (**8**), which is poorly soluble in water was also used as a potential guest. The ¹H NMR spectrum of *n*-decane in D₂O reveals extremely broad signals between 1.8–0.5 ppm. In the presence of the receptor **4a**, the signals are resolved into two sharp signals for the –CH₃ and –CH₂ protons at ~0.8 and ~1.2 ppm, respectively (Figure 4I). This result clearly indicates host–guest assembly. Cyclohexane (**9**), which is also poorly soluble in water, with a wider width than *n*-decane, was utilized as a guest. Upfield shifts of the guest signals clearly indicate the formation of a host–guest complex. The host modulates its internal cavity to accommodate the wider cyclohexane

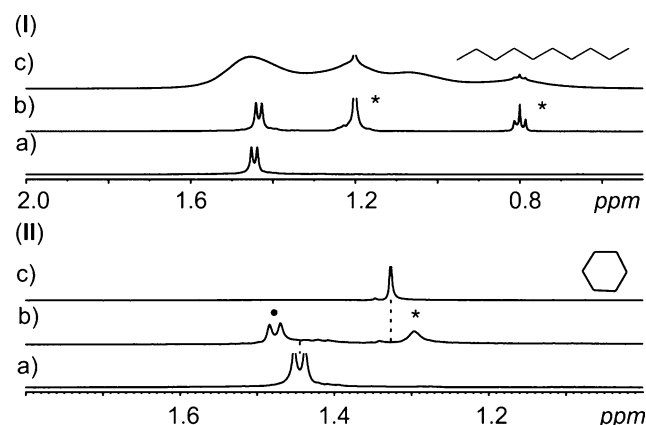


Figure 4. Selected region of the ¹H NMR spectra (D₂O, 298 K) of the mixture between NARCI **4a** (2.5 mM) and (I): *n*-decane **8**; (a) **4a**, (b) **4a** + **8**, 1:excess and (c) **8** (2.5 mM); (II) cyclohexane **9**; (a) **4a**, (b) **4a** + **9**, 1:excess and (c) **9** (2.5 mM). Stars represent the bound guests.

(9) as seen from the downfield shifts of the host signals (Figure 4II).

1,4-Dioxane (10) is known to be a suitable guest for the NARXs in organic media.^[13,14] Unlike the other guests, 1,4-dioxane (10) is soluble in water. As such, the recognition of 1,4-dioxane by the NARCI **4a** in water is more challenging. In a similar experiment, minor upfield shifts of the 1,4-dioxane (10) protons were observed, clearly confirming complexation by the receptor in D₂O (see Figure S8 in the Supporting Information). The single upfield shift signals also suggest the process to be fast on the NMR timescale. While the hydrophobic interaction is the main driving force with the other guests, 1,4-dioxane is probably interacting with the cation–anion seam through hydrogen bonding. The crystal structure (1,4-dioxane)₂@**4b**₂ (Figure 1) though grown in a methanol/CHCl₃ mixture clearly shows hydrogen bonding between the oxygens of 1,4-dioxane and the -NH₂ protons of the receptor **4b** forming part of the cation–anion seam.

In the presence of **4a** in D₂O, upfield shifts of the electron-rich toluene (11) signals were observed, confirming its interaction with the interior of the host (see Figure S9 in the Supporting Information). This result prompted us to test the ability of the NARCI to bind fluorophores such as naphthalene **12** and *p*-(phenylazo)phenol **13**. The fluorophores **12** and **13** are solid with extremely low solubility in water (31.6 mg L⁻¹ for naphthalene) and thus prefer a more hydrophobic environment. To make a suitable comparison between the free and the bound guests, the recognition of the fluorophores were investigated in a water/methanol (95:5 v/v) mixture. 2.5 mm NMR samples of several host–guest mixtures between NARCI **4b** and the fluorophores **12** and **13** were prepared and the ¹H NMR spectra were recorded. Upfield shifts of the guest signals were observed that result from the shielding effects of the aromatic rings of the bowl-shaped host cavity upon guest complexation. This clearly points to a guest exchange fast on the NMR time scale at 298 K. Large shift changes of up to 0.42 ppm were observed for the guest (**12**) signals, and this clearly confirms that the guest is located deep in the cavity of the host (Figure 5I). Binding of the *p*-(phenylazo)phenol **13** guest was also observed in the same solvent mixture. In addition, the orientation of the *p*-(phenylazo)phenol **13** guest in the cavity was clearly detected from the degree of shielding of the respective signals. The large shifts of the protons iii–v (0.25, 0.38, and

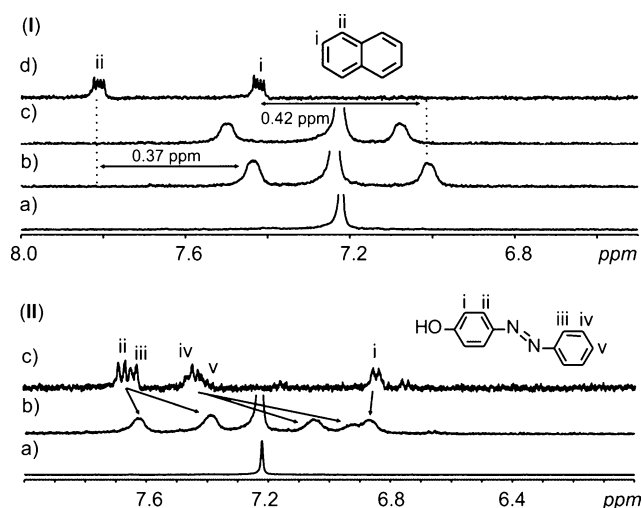


Figure 5. Selected region of the ¹H NMR spectrum (D₂O/CD₃OD, 95:5, v/v, 298 K) of the mixture between NARCI **4b** and (I): naphthalene (**12**); (a) **4b** (2.5 mM), (b) **4b** + **12** (1:1), (c) **4b** + **12** (1:2) and (d) **12** (2.5 mM); (II): *p*-(phenylazo)phenol (**13**); (a) **4b** (2.5 mM), (b) **4b** + **13** (1:1) and (d) **13** (2.5 mM) Dotted lines give an indication to the degree of shielding as a result of complexation.

0.51 ppm, respectively) clearly indicated that the phenyl ring without the hydroxyl group sits deep in the host cavity (Figure 5II).

DOSY experiments were carried out to also investigate the binding process in solution.^[44,45] Toluene was chosen as a random example. The experiment with pure toluene **11** (2 mM) in D₂O/CD₃OD (9/1 v/v) at 298 K gave a diffusion coefficient of 0.732 × 10⁻⁵ cm² s⁻¹ (see Figure S10 in the Supporting Information). For a 1:1 mixture of toluene **11** and host **4a** (2 mM), the diffusion coefficients were 0.489 × 10⁻⁵ cm² s⁻¹ for host **4a** and 0.536 × 10⁻⁵ cm² s⁻¹ for toluene **11** (Figure S10). These values confirm the binding process to be fast on the NMR timescale and complement the ¹H NMR results.

¹H NMR titration experiments were used to quantify the binding process between the host **4a** and the guests **8–12**. The titrations were done in D₂O/CD₃OD (9:1 v/v) due to low solubility of the guests in pure D₂O. Solutions of the guests **8–12** were treated with various amounts of the host **4a**. After each addition, a ¹H NMR spectrum was recorded (Figure 6, see Figures S11–S15 in the Supporting Information). Binding con-

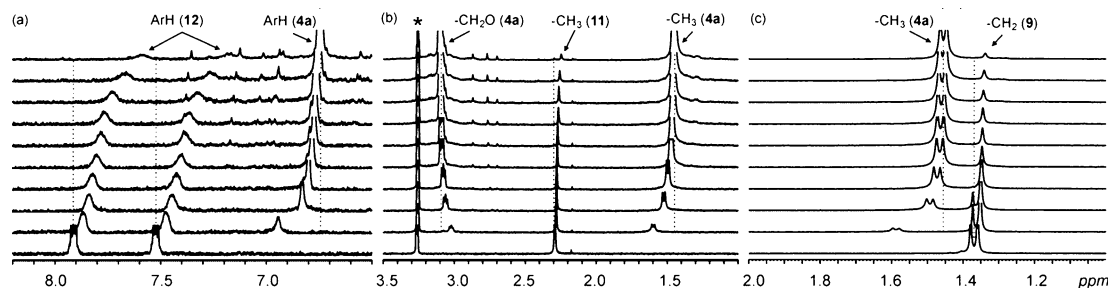


Figure 6. Selected region of the ¹H NMR spectra observed upon the titration of the host **4a** (20 mM) to (a) naphthalene **12** (0.75 mM), (b) toluene **11** (0.75 mM) and (c) cyclohexane **9** (0.75 mM) in (D₂O/CD₃OD, 9:1, v/v, 298 K). Dashed lines give an indication to the degree of shielding as a result of complexation. Stars represent the residual NMR solvents.

stants for the complexes were determined using the winEQNMR2 computer program.^[47] The parameters were refined using non-linear squares analysis to obtain the best fit between observed and calculated chemical shifts for a 1:1 host-guest binding stoichiometry (see Figures S16–S18 in the Supporting Information). ¹H NMR titration experiments with 4-phenylazophenol **13** could not be done due to extremely low solubility. The binding constants for the guests were calculated to be $160 \pm 3.5 \text{ M}^{-1}$ (**8**), $559 \pm 67 \text{ M}^{-1}$ (**9**), $232 \pm 13 \text{ M}^{-1}$ (**10**), $441 \pm 42 \text{ M}^{-1}$ (**11**), and $431 \pm 46 \text{ M}^{-1}$ (**12**). These results show the cyclohexane **9**, toluene **11** and naphthalene **12** were more suitable guests. The low binding constant for 1,4-dioxane (**10**) is probably due to the competition binding of water.

Conclusions

In conclusion, we have synthesized two *N*-ethanol ammonium resorcinarene chlorides, NARCl, with upper rim functionalized terminal hydroxyl end groups. The ethyl analogue **4b** spontaneously forms dimeric capsules (cavity volume of 258 \AA^3) in a methanol/CHCl₃ mixture encapsulating a two-ordered 1,4-dioxane guest in a densely packed (72.9% packing coefficient) assembly. Intermolecular NH...X and OH...X hydrogen bonds are mainly responsible for the formation of the capsules. The methyl analogue **4a** without a suitable guest, forms a pseudo-capsule in pure methanol. The water soluble NARCl **4a** and **4b** with hydrophobic interior, are shown to bind linear and cyclic alkanes, linear halogenated alkanes, and aromatic fluorophores in water via hydrophobic interactions. 1,4-dioxane, a hydrophilic guest was also bound in water through a combination of CH- π and hydrogen-bond interactions. Hydrophobic and CH- π interactions, as well as best-fit of the guests into the host cavity influence the binding constants of the complexes. These results highlight the truly versatile nature of the NARX family of compounds as synthons for capsular and larger supramolecular assemblies as well as suitable receptors for possible extraction purposes in aqueous media.

Experimental Section

The C_{methyl}- and C_{ethyl}-resorcinarenes **1a** and **1b** and the *N*-ethanol ammonium resorcinarene halides **4a** and **4b** were synthesized according to modified procedures.^[1–3] All the guests **5–13** were commercial and used as received. ¹H and ¹³C NMR, DOSY, and titration experiments were carried out on a Bruker Avance DRX 500 MHz and 400 MHz spectrometers. CCDC 1452468 ((MeOH-**4a**)₂), and 1452743 ((1,4-dioxane)₂@**4b**) contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre. Details of the NMR and X-ray crystallographic measurements are presented in the Supporting Information.

Acknowledgements

The authors gratefully acknowledge the financial support from the Academy of Finland (N.K.B: grant no. 258653, R.H.A.R: grant no. 272579), Aalto University and University of Jyväskylä.

This work was supported by the Academy of Finland through its Centres of Excellence Programme (2014–2019).

Keywords: host-guest systems • hydrocarbons • hydrogen bonds • hydrophobic effect • supramolecular chemistry

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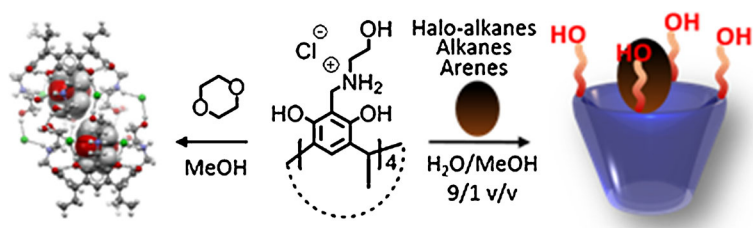
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Manuscript received: April 18, 2016

Accepted Article published: May 25, 2016

Final Article published: ■ ■ ■, 0000

FULL PAPER



The perfect host: Two water-soluble *N*-ethanol ammonium resorcinarene chlorides have been synthesized. In the presence of suitable guests, a dimeric capsule is isolated in organic media in the

solid state. Multiple host–guests complexes are formed in aqueous media with a series of alkanes, halogenated alkanes, and fluorophores, analyzed by NMR spectroscopy.

Supramolecular Chemistry

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***N*-Alkyl Ammonium Resorcinarene
Chloride Receptors for Guest Binding
in Aqueous Environment**

