## Rasterization cont'd

15.4 Depth sorting by Z-buffering, clipping Jaakko Lehtinen Lots of slides from Fredo Durand

## In These Slides

- To read on your own
- Z-buffer: How to make sure we get the closest surface in each pixel when rasterizing
- Hierarchical z-buffering
- Interpolating attributes (like z) from vertices to pixels
- Avoiding projection problems by clipping


## Figuring out what's visible: Z-Buffer

- In ray casting, use intersection with closest t
- Now we have swapped the loops (pixel, object)
- How do we do?



## Z-buffer

- In addition to frame buffer (R, G, B), store z coordinate of rasterized points
- Pixel is updated only if new $z$ is closer than z-buffer value



## Z-buffer pseudo code

For every triangle
Compute Projection, color at vertices
Setup line equations
Compute bbox, clip bbox to screen limits
For all pixels in bbox
Increment line equations
Compute curentZ
Increment currentColor
If all line equations>0 //pixel [x,y] in triangle If currentZ<zBuffer[ $\mathrm{x}, \mathrm{y}$ ] //pixel is visible Framebuffer $[x, y]=c u r r e n t C o l o r$ zBuffer $[x, y]=$ currentZ

## Z-buffer Main Benefit

- Works for hard cases!



## Z-buffer Main Problem

- Works really only for opaque geometry, no general transparency
- Why? The ordering of the surfaces is important to get transparency right
- The Z-buffer just keeps the closest intersection
- The ray tracer finds the closest one first, then fires another ray
- Funny enough, this is still an $\sim$ unsolved problem in real-time graphics even today!
- "Order independent transparency"
- However, great progress has been made in the last few years (Links $1 \underline{2}$ )


## Z-buffer efficiency

- Looping over all triangles is not smart if most of them are occluded.
- What can we do?


## Is That the Best We Can Do?

- Can we do better than just test each pixel individually after rasterization?

For each triangle<br>for each pixel (x,y)

if passes all edge equations
 compute $z$
if $z<z b u f f e r[x, y]$
zbuffer $[x, y]=z$
framebuffer[x,y]=shade()

## Hierarchical Z-Buffer

- Keep a $\mathrm{Z}_{\min }$ and $\mathrm{Z}_{\max }$ value for each tile
- Check all tiles within triangle bounding box: If all tiles' $Z_{\text {max }}$ is closer than the minimum $Z$ of the vertices, the triangle cannot be visible!


Otherwise, check the pixels like before. When updating the individual z values in the Z -buffer, also update the $\mathrm{Z}_{\text {min }}$ and $Z_{\text {max }}$ values of the corresponding tile.

## Occlusion Culling

- We can do even better!
- We can test an object's conservative (3D) bounding volume (usually box) against the hierarchical Zbuffer before drawing any of the triangles
- If bounding box is not visible, don't submit the triangles
- Sorting objects front-to-back makes this efficient


## Occlusion Culling

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- If bounding box is not visible, don't submit the triangles
- Sorting objects front-to-back makes this efficient
- OpenGL/DirectX "occlusion queries" and "predicated rendering" can be used to do this.
- There are neat algorithms that allow output-sensitive rendering of really large scenes
- Cf. Umbra Software's engine middleware


## Recap: The Graphics Pipeline

For each triangle
transform into eye space project from 3D to 2D
set up 3 edge equations
for each pixel x,y
if passes all edge equations
compute z
if $z<z b u f f e r[x, y]$
zbuffer[x,y]=z
framebuffer[x,y]=shade()

Why quotes? We are leaving out programmable
 stages and parallelism

## Interpolation in Screen Space

- How do we get that Z value for each pixel?
- We only know $z$ at the vertices...
- Must interpolate from vertices into triangle interior

For each triangle

```
for each pixel (x,y)
```

if passes all edge equations
compute z
if $z<z b u f f e r[x, y]$
zbuffer $[x, y]=z$
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## Interpolation in Screen Space

- Also need interpolate color, normals, texture coordinates, etc. between vertices
- We did this with barycentrics in ray casting
- Linear interpolation in object space
- Is it the same as linear interpolation on the screen?



## Interpolation in Screen Space

image


## Interpolation in Screen Space



## Nope, Not the Same

- Linear variation in world space does not yield linear variation in screen space due to projection
- Think of looking at a checkerboard at a steep angle; all squares are the same size on the plane, but not on screen



## Solution: Barycentrics, Again

- Barycentric coordinates for a triangle ( $\mathbf{a}, \mathbf{b}, \mathbf{c}$ )
$P(\alpha, \beta, \gamma)=\alpha \boldsymbol{a}+\beta \boldsymbol{b}+\gamma \boldsymbol{c}$
- Remember, $\alpha+\beta+\gamma=1, \quad \alpha, \beta, \gamma \geq 0$


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- Remember, $\alpha+\beta+\gamma=1, \quad \alpha, \beta, \gamma \geq 0$
- Let's project point P by projection matrix $\mathbf{C}$
$\boldsymbol{C P}=\boldsymbol{C}(\alpha \boldsymbol{a}+\beta \boldsymbol{b}+\gamma \boldsymbol{c})$

$$
=\alpha \boldsymbol{C} \boldsymbol{a}+\beta \boldsymbol{C} \boldsymbol{b}+\gamma \boldsymbol{C} \boldsymbol{c}
$$

$$
=\alpha \boldsymbol{a}^{\prime}+\beta \boldsymbol{b}^{\prime}+\gamma \boldsymbol{c}^{\prime}
$$

$\mathbf{a}^{\prime}, \mathbf{b}^{\prime}$, c' $^{\prime}$ are the projected homogeneous vertices before division by w

## Solution: Barycentrics, Again

$$
\boldsymbol{C} P=\alpha \boldsymbol{a}^{\prime}+\beta \boldsymbol{b}^{\prime}+\gamma \boldsymbol{c}^{\prime}
$$

$\mathbf{a}^{\prime}$, b', $^{\prime}$ c' are the projected
homogeneous

- The $(x, y)$ screen coordinates of $P$ are vertices

$$
\left(P_{x} / P_{w}, P_{y} / P_{w}\right)=
$$

$$
\left(\frac{\alpha a_{x}^{\prime}+\beta b_{x}^{\prime}+\gamma c_{x}^{\prime}}{\alpha a_{w}^{\prime}+\beta b_{w}^{\prime}+\gamma c_{w}^{\prime}}, \frac{\alpha a_{y}^{\prime}+\beta b_{y}^{\prime}+\gamma c_{y}^{\prime}}{\alpha a_{w}^{\prime}+\beta b_{w}^{\prime}+\gamma c_{w}^{\prime}}\right)
$$

## Solution: Barycentrics, Again

$$
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$$

This looks familiar...

## Solution: Barycentrics, Again

$\left(\begin{array}{c}P_{x} / P_{w} \\ P_{y} / P_{w} \\ 1\end{array}\right) \sim\left(\begin{array}{c}P_{x} \\ P_{y} \\ P_{w}\end{array}\right)=\left(\begin{array}{ccc}a_{x}^{\prime} & b_{x}^{\prime} & c_{x}^{\prime} \\ a_{y}^{\prime} & b_{y}^{\prime} & c_{y}^{\prime} \\ a_{w}^{\prime} & b_{w}^{\prime} & c_{w}^{\prime}\end{array}\right)\left(\begin{array}{c}\alpha \\ \beta \\ \gamma\end{array}\right)$

- It's a projective mapping from the barycentrics onto screen coordinates!
- Represented by a $3 \times 3$ matrix
- The inverse of a projection is a projection...
- We'll just take the inverse mapping to get from ( $\mathrm{x}, \mathrm{y}, 1$ ) to the barycentrics!


## From Screen to Barycentrics

$$
\left(\begin{array}{c}
\alpha \\
\beta \\
\gamma
\end{array}\right)^{\substack{\text { equivalence }}} \sim\left(\begin{array}{ccc}
a_{x}^{\prime} & b_{x}^{\prime} & c_{x}^{\prime} \\
a_{y}^{\prime} & b_{y}^{\prime} & c_{y}^{\prime} \\
a_{w}^{\prime} & b_{w}^{\prime} & c_{w}^{\prime}
\end{array}\right)^{-1}\left(\begin{array}{l}
x \\
y \\
1
\end{array}\right)
$$

- Recipe
- Compute projected homogeneous coordinates $\mathbf{a}^{\prime}, \mathbf{b}^{\prime}, \mathbf{c}^{\prime}$
- Put them in the columns of a matrix, invert it
- Multiply screen coordinates ( $\mathrm{x}, \mathrm{y}, 1$ ) by inverse matrix
- Then divide by the sum of the resulting coordinates
- This ensures the result is sums to one like barycentrics should - Then interpolate value (e.g. Z) from vertices using them


## Barycentric Interpolation Recap

- Values $\mathrm{v}_{1}, \mathrm{v}_{2}, \mathrm{~V}_{3}$ defined at $\mathbf{a}, \mathbf{b}, \mathbf{c}$
- Colors, normal, texture coordinates, etc.
- $\mathbf{P}(\alpha, \beta, \gamma)=\alpha \mathbf{a}+\beta \mathbf{b}+\gamma \mathbf{c}$ is the point...
- $\mathrm{v}(\alpha, \beta, \gamma)=\alpha \mathrm{v}_{1}+\beta \mathrm{v}_{2}+\gamma \mathrm{v}_{3}$ is the barycentric interpolation of $\mathrm{v}_{1}-\mathrm{v}_{3}$ at point $\mathbf{P}$
- Sanity check: $v(1,0,0)=v_{1}$, etc.
- I.e, once you know $\alpha, \beta, \gamma, \mathrm{V}_{1}$ you can interpolate values using the same weights.
- Convenient!


## Clipping: What if the $p_{z}$ is $>$ eye $e_{z}$ ?



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## What if the $p_{z}$ is $<$ eye $e_{z}$ ?



## What if the $p_{z}$ is $<e y e_{z}$ ?



## What if the $p_{z}=$ eye $e_{z}$ ?

When w' $=0$, point projects to infinity (homogenization is division by w')


## What if the $p_{z}=$ eye $e_{z}$ ?

When $w^{\prime}=0$, point projects to infinity (homogenization is division by w')


## A Solution: Clipping

"clip" geometry to view frustum, discard


## Clipping

- Eliminate portions of objects outside the viewing frustum
- View Frustum
- boundaries of the image plane projected in 3D
- a near \& far clipping plane
- User may define additional clipping planes



## Why Clip?

- Avoid degeneracies
- Don't draw stuff behind the eye
- Avoid division by 0 and overflow

$z=f a r$


## Related Idea

- "View Frustum Culling"
- Use bounding volumes/hierarchies to test whether any part of an object is within the view frustum
- Need "frustum vs. bounding volume" intersection test
- Crucial to do hierarchically when scene has lots of objects!
- Early rejection (different from clipping)

See e.g. Optimized view frustum culling algorithms for bounding boxes, Ulf Assarsson and Tomas Möller, journal of graphics tools, 2000.


## Clipping

- Each side of the viewing frustum is a plane
- We'll clip the input triangles with these planes
- How do we get the plane equations?
- We'll clip in homogeneous coordinates before division by w,



## Clipping Planes

- In normalized screen coordinates, the left boundary of the screen is defined by the line $x>=-1$
- Screen $x=x^{\prime} / w^{\prime}$, so this can be written in homogeneous coordinates as

$$
x^{\prime} / w^{\prime}>=-1 \quad<=>\quad x^{\prime}>=-w^{\prime} \quad<=>\quad x^{\prime}+w^{\prime}>=0
$$

- Using plane equation notation:

$$
(1001)\left(x^{\prime} y^{\prime} z^{\prime} w^{\prime}\right)^{T} \geq 0
$$

- (x'y'z'w' are homogeneous coordinates after projection)


## Clipping Planes

- In normalized screen coordinates, the left boundary of the screen is defined by the line $x>=-1$
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$$

- Using plane equation notation:

$$
\left(\begin{array}{llll}
1 & 0 & 0 & 1
\end{array}\right)\left(x^{\prime} y^{\prime} z^{\prime} w^{\prime}\right)^{T} \geq 0 \quad \begin{aligned}
& \text { Similarly for all } \\
& 5 \text { other planes! }
\end{aligned}
$$

Homogeneous clipping plane
for left screen boundary

- (x'y'z'w' are homogeneous coordinates after projection)


## Sutherland-Hodgman Clipping

- 2 D version; 3 D is pretty much the same



## Sutherland-Hodgman Clipping

- Init: Output = empty
- Test vertex 1
- It's inside, add to output

Current: In


## Sutherland-Hodgman Clipping

- Init: Output = empty
- Test vertex 1
- It's inside, add to output
- Test vertex 2
- Inside, add to output



## Sutherland-Hodgman Clipping

- Init: Output = empty
- Test vertex 1
- It's inside, add to output
- Test vertex 2
- Inside, add to output
- Test vertex 3 (outside)
- Compute intersection, add it to output



## Sutherland-Hodgman Clipping

- Init: Output = empty
- Test vertex 1
- It's inside, add to output
- Test vertex 2
- Inside, add to output
- Test vertex 3 (outside)
- Compute intersection, add it to output
- Test vertex $4=1$ (to close loop)
- It's inside, and last vertex (3) was outside =>
outside line (plane)

Current: In
compute intersection, add to output DONE

## Sutherland-Hodgman Clipping

- Init: Output = empty
- Test vertex 1
- It's inside, add to output
- Test vertex 2
- Inside, add to output
- Test vertex 3 (outside)
- Compute intersection, add it to output
- Test vertex $4=1$ (to close loop)
- It's inside, and last vertex (3) was outside =>



## More Information

- These links treat the "full" Sutherland-Hodgman algorithm that can also clip concave polygons
- http://www.sunshine2k.de/stuff/Java/SutherlandHodgman/ SutherlandHodgman.html
- http://en.wikipedia.org/wiki/SutherlandHodgman_clipping_algorithm
- Clipping triangles is an easier special case, don't need to worry about concave inputs

