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# A posteriori error analysis for the Morley plate element

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# Introduction

- ▶ **Thin structures** (shells, plates, membranes, beams) are the main building blocks in modern **structural design**.
- ▶ Beside the classical fields as **civil engineering**, the variety of applications have strongly increased also in many other fields as **aeronautics, biomechanics, surgical medicine** or **microelectronics**.
- ▶ In particular, **new applications** arise when thin structures are combined with functional, smart or composite **materials** (shape memory alloys, piezo-electric ceramics etc.).
- ▶ Increasing demands for **accuracy** and **productivity** have created a need for **adaptive** (automated, efficient, reliable) **computational methods** for thin structures.

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# Kirchhoff plate bending model

- ▶ We consider **bending** of a **thin planar structure** occupied by

$$\mathcal{P} = \Omega \times \left(-\frac{t}{2}, \frac{t}{2}\right),$$

where  $\Omega \subset \mathbb{R}^2$  denotes the **midsurface** of the plate and  $t \ll \text{diam}(\Omega)$  denotes the **thickness** of the plate.

- ▶ **Kinematical assumptions** for the dimension reduction:
  - Straight fibres normal to the midsurface remain straight and normal.
  - Fibres normal to the midsurface do not stretch.
  - The midsurface moves only in the vertical direction.

## Deformations

- ▶ Under these assumptions, with the deflection  $w$ , the **displacement** field  $\mathbf{u} = (u_x, u_y, u_z)$  takes the form

$$u_x = -z \frac{\partial w(x, y)}{\partial x}, \quad u_y = -z \frac{\partial w(x, y)}{\partial y}, \quad u_z = w(x, y).$$

- ▶ The corresponding deformation is defined by the symmetric linear **strain tensor**

$$\boldsymbol{\varepsilon}(\mathbf{u}) = \frac{1}{2} (\boldsymbol{\nabla} \mathbf{u} + (\boldsymbol{\nabla} \mathbf{u})^T),$$

in the component form as

$$e_{xx} = -z \frac{\partial^2 w}{\partial x^2}, \quad e_{yy} = -z \frac{\partial^2 w}{\partial y^2}, \quad e_{zz} = 0,$$
$$e_{xy} = -z \frac{\partial^2 w}{\partial x \partial y}, \quad e_{xz} = 0, \quad e_{yz} = 0.$$

## Stress resultants

Next, we define the stress resultants, the **moments** and the **shear forces**:

$$\mathbf{M} = \begin{pmatrix} M_{xx} & M_{xy} \\ M_{yx} & M_{yy} \end{pmatrix} \quad \text{with} \quad M_{ij} = - \int_{-t/2}^{t/2} z \sigma_{ij} dz, \quad i, j = x, y,$$

$$\mathbf{Q} = \begin{pmatrix} Q_x \\ Q_y \end{pmatrix} \quad \text{with} \quad Q_i = \int_{-t/2}^{t/2} \sigma_{iz} dz, \quad i = x, y,$$

where the **stress tensor** is assumed to be **symmetric**:

$$\sigma_{ij} = \sigma_{ji}, \quad i, j = x, y, z.$$



## Equilibrium equations and boundary conditions

The principle of virtual work gives, with the load resultant  $F$ , the [equilibrium equation](#)

$$\operatorname{div} \operatorname{div} \mathbf{M} = F \quad \text{with} \quad \operatorname{div} \mathbf{M} + \mathbf{Q} = \mathbf{0}.$$

and the [boundary conditions](#)

$$\begin{aligned} w = 0, \quad \nabla w \cdot \mathbf{n} &= 0 && \text{on } \Gamma_{\mathbf{C}}, \\ w = 0, \quad \mathbf{n} \cdot \mathbf{M} \mathbf{n} &= 0 && \text{on } \Gamma_{\mathbf{S}}, \\ \mathbf{n} \cdot \mathbf{M} \mathbf{n} = 0, \quad \frac{\partial^2}{\partial s^2} (\mathbf{s} \cdot \mathbf{M} \mathbf{n}) + \mathbf{n} \cdot \operatorname{div} \mathbf{M} &= 0 && \text{on } \Gamma_{\mathbf{F}}, \\ (\mathbf{s}_1 \cdot \mathbf{M} \mathbf{n}_1)(c) = (\mathbf{s}_2 \cdot \mathbf{M} \mathbf{n}_2)(c) &&& \forall c \in \mathcal{V}, \end{aligned}$$

where the indices 1 and 2 refer to the sides of the boundary angle at a corner point  $c$  on the free boundary  $\Gamma_{\mathbf{F}}$ .

## Constitutive assumptions

- ▶ The **material** of the plate is assumed to be
  - **linearly elastic** (defined by the generalized Hooke's law)
  - **homogeneous** (independent of the coordinates  $x, y, z$ )
  - **isotropic** (independent of the coordinate system).
- ▶ Furthermore, we assume that the **transverse normal stress** vanishes:  $\sigma_{zz} = 0$ .

## Variational formulation

Let the deflection  $w$  belong to the Sobolev space

$$W = \{v \in H^2(\Omega) \mid v = 0 \text{ on } \Gamma_C \cup \Gamma_S, \nabla v \cdot \mathbf{n} = 0 \text{ on } \Gamma_C\},$$

where  $\mathbf{n}$  indicates the unit outward normal to the boundary  $\Gamma$ .

**Problem.** *Variational formulation:* Find  $w \in W$  such that

$$(\mathbf{E}\boldsymbol{\varepsilon}(\nabla w), \boldsymbol{\varepsilon}(\nabla v)) = (f, v) \quad \forall v \in W,$$

with the elasticity tensor  $\mathbf{E}$  defined as

$$\mathbf{E}\boldsymbol{\varepsilon} = \frac{\mathbf{E}}{12(1+\nu)} \left( \boldsymbol{\varepsilon} + \frac{\nu}{1-\nu} \text{tr}(\boldsymbol{\varepsilon}) \mathbf{I} \right) \quad \forall \boldsymbol{\varepsilon} \in \mathbb{R}^{2 \times 2},$$

with Young's modulus  $\mathbf{E}$  and the Poisson ratio  $\nu$ .

# Morley finite element formulation

Let  $E$  denote an edge of a triangle  $K$  in a triangulation  $\mathcal{T}_h$ .

We define the **discrete space** for the **deflection** as

$$W_h = \left\{ v \in M_{2,h} \mid \int_E [[\nabla v \cdot \mathbf{n}_E]] = 0 \quad \forall E \in \mathcal{E}_h^i \cup \mathcal{E}_h^c \right\},$$

where  $M_{2,h}$  denotes the space of the **second order** piecewise polynomial functions on  $\mathcal{T}_h$  which are

- continuous at the vertices of all the internal triangles and
- zero at all the triangle vertices of  $\Gamma_C \cup \Gamma_S$ .

**Finite element method.** *Morley:* Find  $w_h \in W_h$  such that

$$\sum_{K \in \mathcal{T}_h} (\mathbf{E}\boldsymbol{\varepsilon}(\nabla w_h), \boldsymbol{\varepsilon}(\nabla v))_K = (f, v) \quad \forall v \in W_h.$$

## A priori error estimate

The method is [stable](#) and [convergent](#) with respect to the following [discrete norm](#) on the space  $W_h + H^2$ :

$$\|v\|_h^2 := \sum_{K \in \mathcal{T}_h} |v|_{2,K}^2 + \sum_{E \in \mathcal{E}_h} h_E^{-3} \|[v]\|_{0,E}^2 + \sum_{E \in \mathcal{E}_h} h_E^{-1} \left\| \left[ \frac{\partial v}{\partial \mathbf{n}_E} \right] \right\|_{0,E}^2 ,$$

**Proposition.** *(Shi 90, Ming and Xu 06) Assuming that  $\Gamma = \Gamma_C$  there exists a positive constant  $C$  such that*

$$\|w - w_h\|_h \leq Ch \left( |w|_{H^3(\Omega)} + h \|f\|_{L^2(\Omega)} \right) .$$

The [numerical results](#) indicate the same convergence rate for general boundary conditions as well.

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# A posteriori error estimates

- We use the following **notation**:  $[[\cdot]]$  for jumps (and traces),  $h_E$  and  $h_K$  for the edge length and the element diameter.

## Interior error indicators

- For all the **elements**  $K$  in the mesh  $\mathcal{T}_h$ ,

$$\tilde{\eta}_K^2 := h_K^4 \|f\|_{0,K}^2,$$

and for all the **internal edges**  $E \in \mathcal{I}_h$ ,

$$\eta_E^2 := h_E^{-3} \|[[w_h]]\|_{0,E}^2 + h_E^{-1} \left\| \left[ \frac{\partial w_h}{\partial \mathbf{n}_E} \right] \right\|_{0,E}^2.$$

## Boundary error indicators

- ▶ The **boundary** of the plate is divided into **clamped**, **simply supported** and **free** parts:

$$\Gamma := \partial\Omega = \Gamma_C \cup \Gamma_S \cup \Gamma_F .$$

- ▶ For edges on the **clamped** and **simply supported** boundaries  $\Gamma_C$  and boundary  $\Gamma_S$ , respectively,

$$\eta_{E,C}^2 := h_E^{-3} \| \llbracket w_h \rrbracket \|_{0,E}^2 + h_E^{-1} \| \llbracket \frac{\partial w_h}{\partial \mathbf{n}_E} \rrbracket \|_{0,E}^2,$$

$$\eta_{E,S}^2 := h_E^{-3} \| \llbracket w_h \rrbracket \|_{0,E}^2.$$



## Error indicators — local and global

- For any element  $K \in \mathcal{T}_h$ , let the **local** error indicator be

$$\eta_K := \left( \tilde{\eta}_K^2 + \frac{1}{2} \sum_{\substack{E \in \mathcal{I}_h \\ E \subset \partial K}} \eta_E^2 + \sum_{\substack{E \in \mathcal{C}_h \\ E \subset \partial K}} \eta_{E,C}^2 + \sum_{\substack{E \in \mathcal{S}_h \\ E \subset \partial K}} \eta_{E,S}^2 \right)^{1/2},$$

with the **notation**

- $\mathcal{I}_h$  for the collection of all the **internal** edges,
- $\mathcal{C}_h$  and  $\mathcal{S}_h$  for the collections of all the **boundary** edges on  $\Gamma_C$  and  $\Gamma_S$ , respectively.

- The **global** error indicator is defined as

$$\eta_h := \left( \sum_{K \in \mathcal{T}_h} \eta_K^2 \right)^{1/2}.$$

## Upper bound — Reliability

**Theorem.** *Reliability:* There exists a positive constant  $C$  such that

$$\|w - w_h\|_h \leq C\eta_h.$$

## Lower bound — Efficiency

**Theorem.** *Efficiency:* For any element  $K$ , there exists a positive constant  $C_K$  such that

$$\eta_K \leq C_K (\|w - w_h\|_{h,K} + h_K^2 \|f - f_h\|_{0,K}).$$

Efficiency is proved by standard arguments; reliability needs a new **Clément-type interpolant** and a new **Helmholtz-type decomposition**.

## Techniques for the analysis — Helmholtz decomposition

**Lemma.** *Let  $\boldsymbol{\sigma}$  be a second order tensor field in  $L^2(\Omega; \mathbb{R}^{2 \times 2})$ . Then, there exist  $\psi \in W$ ,  $\rho \in L^2_0(\Omega)$  and  $\boldsymbol{\phi} \in [\tilde{H}^1(\Omega)]^2$  such that*

$$\boldsymbol{\sigma} = \mathbf{E}\boldsymbol{\varepsilon}(\nabla\psi) + \boldsymbol{\rho} + \mathbf{Curl}\boldsymbol{\phi}, \quad \text{with} \quad \boldsymbol{\rho} = \begin{pmatrix} 0 & -\rho \\ \rho & 0 \end{pmatrix}.$$

$$\|\psi\|_{H^2(\Omega)} + \|\rho\|_{L^2(\Omega)} + \|\boldsymbol{\phi}\|_{H^1(\Omega)} \leq C\|\boldsymbol{\sigma}\|_{L^2(\Omega)}.$$

Here  $\tilde{H}^m(\Omega)$ ,  $m \in \mathbb{N}$ , indicate the quotient space of  $H^m(\Omega)$  where the seminorm  $|\cdot|_{H^m(\Omega)}$  is null.

In analysis, Lemma is applied to the tensor field  $\mathbf{E}\boldsymbol{\varepsilon}(\nabla(w - w_h))$ .

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# Numerical results

- ▶ We have **implemented** the method in the open-source finite element software *Elmer* developed by CSC – the Finnish IT Center for Science.
- ▶ The software provides **error balancing strategy** and **complete remeshing** for triangular meshes.
- ▶ We have used test problems with **convex rectangular domains** – and with known exact solutions – for investigating the **effectivity index** for the error estimator derived.
- ▶ **Non-convex domains** we have used for studying the **adaptive performance** and robustness of the method.

$$\text{Effectivity index } \iota = \frac{\eta_h}{\|w - w_h\|_h}$$

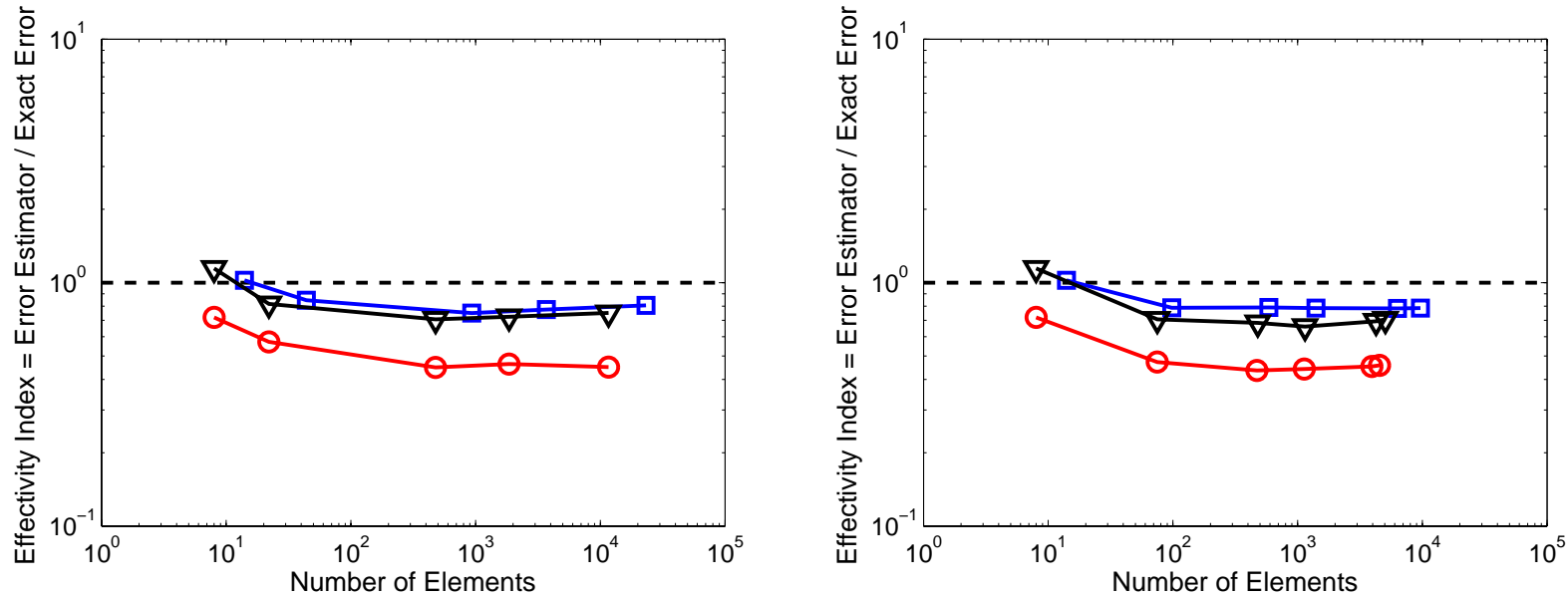


Figure 1: *Left*: uniform refinements; *Right*: adaptive refinements. Clamped (squares), simply supported (circles) and free (triangles) boundaries included.

# Adaptively refined mesh — Error estimator

## Simply supported L-corner

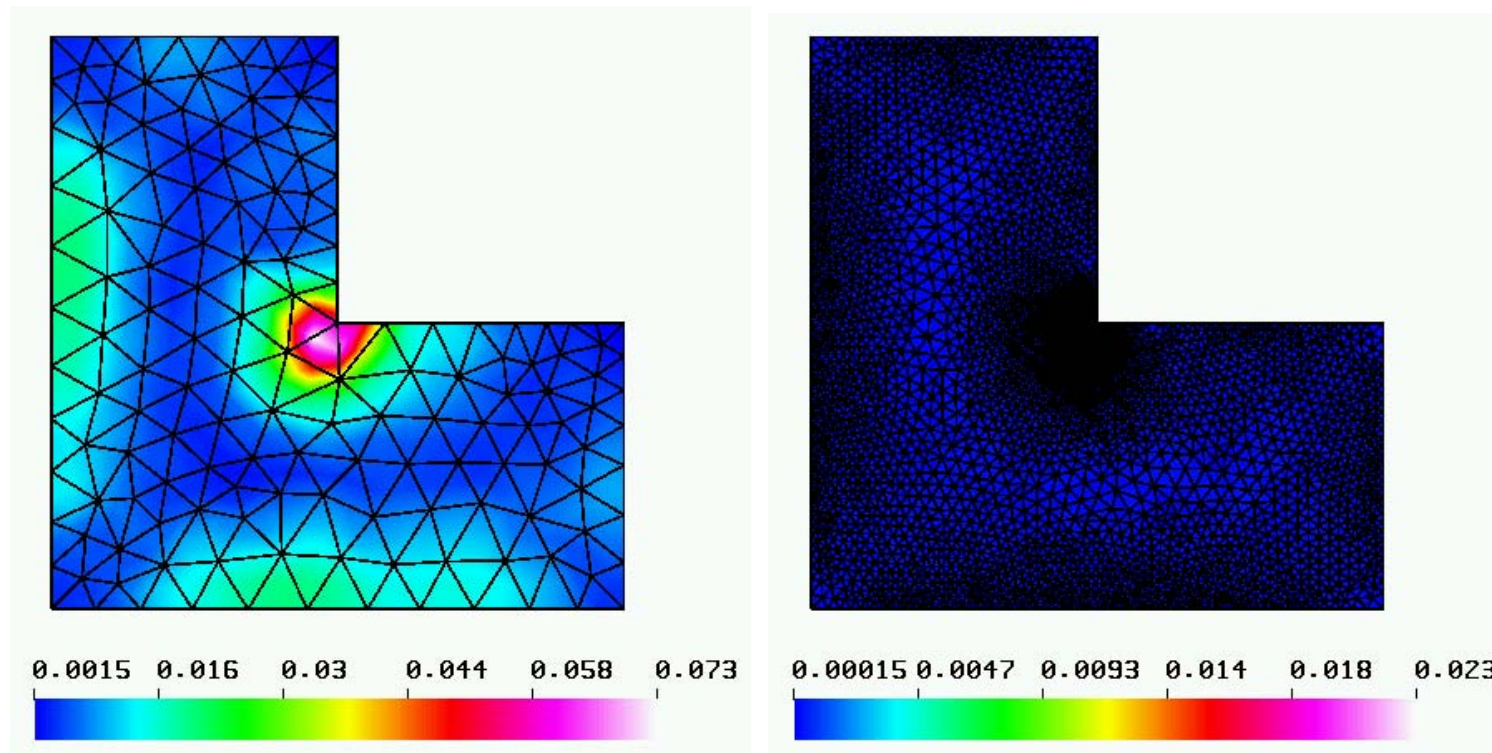


Figure 2: Simply supported L-shaped domain: Distribution of the error estimator for two adaptive steps.

## Uniform vs. Adaptive — Convergence

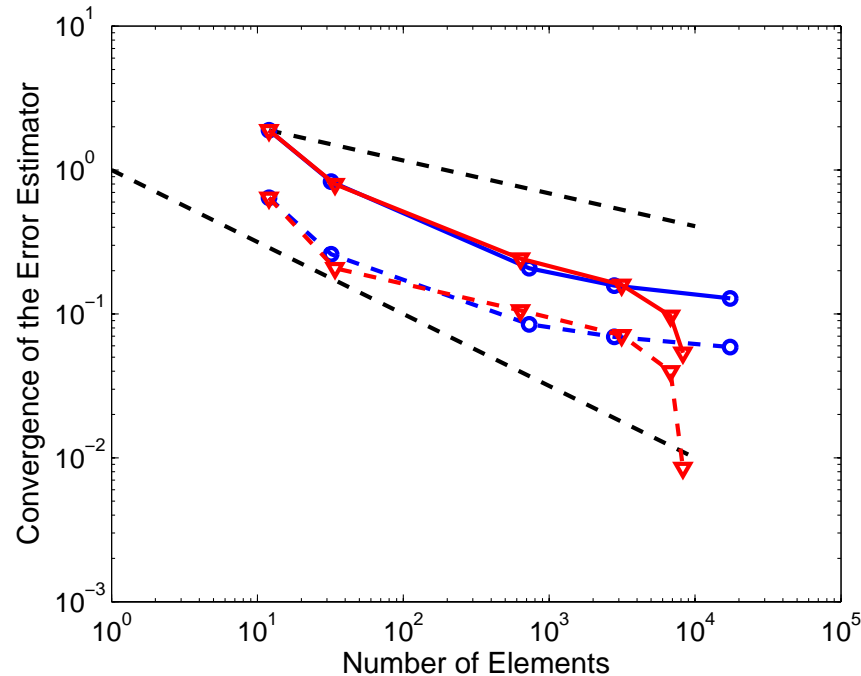


Figure 3: Simply supported L-shaped domain: Convergence of the error estimator for the **uniform refinements** and **adaptive refinements**; *Solid* lines for *global*, *dashed* lines for *maximum local* ones.



# Adaptively refined mesh — Error estimator

## Clamped L-corner

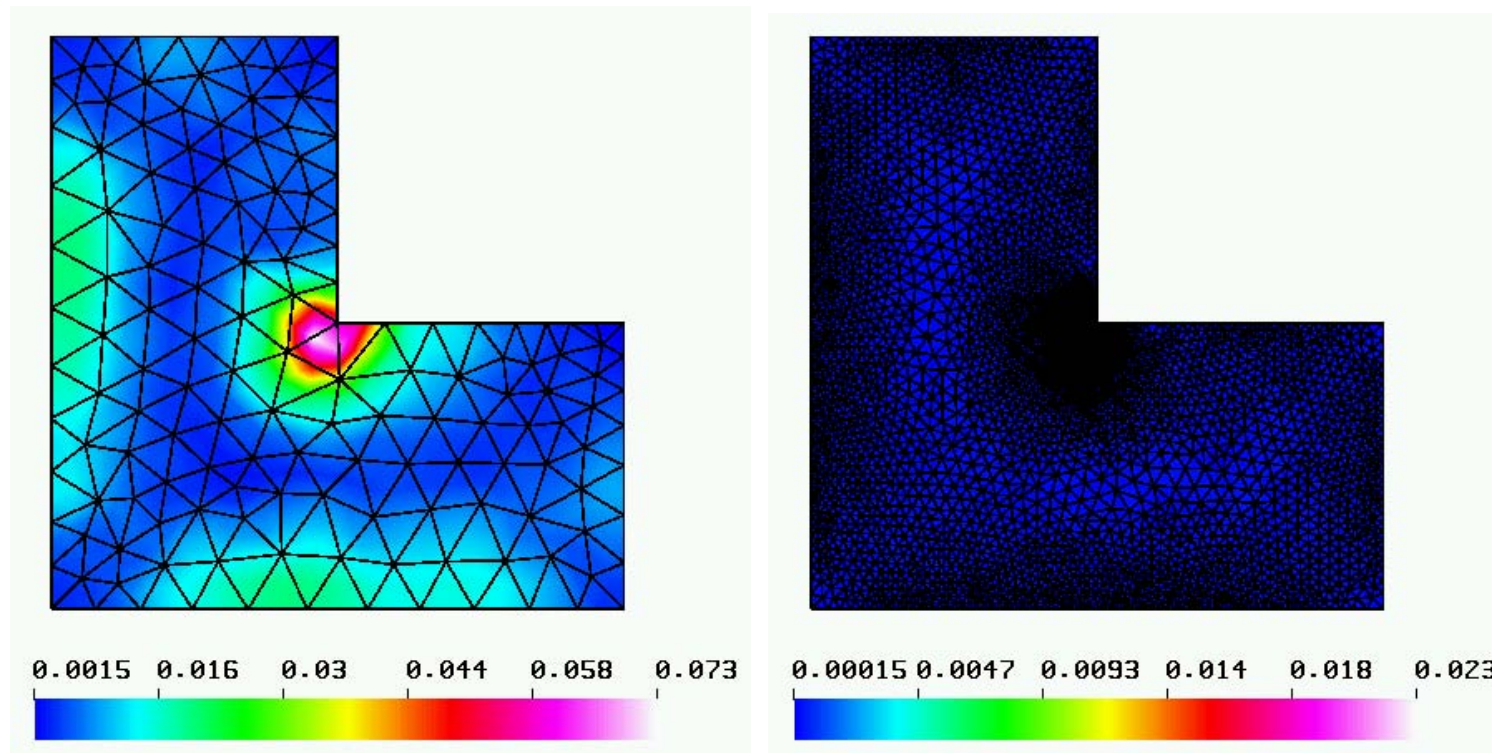


Figure 4: Simply supported L-shaped domain with a clamped L-corner: Distribution of the error estimator for two adaptive steps.

# Uniform vs. Adaptive — Convergence

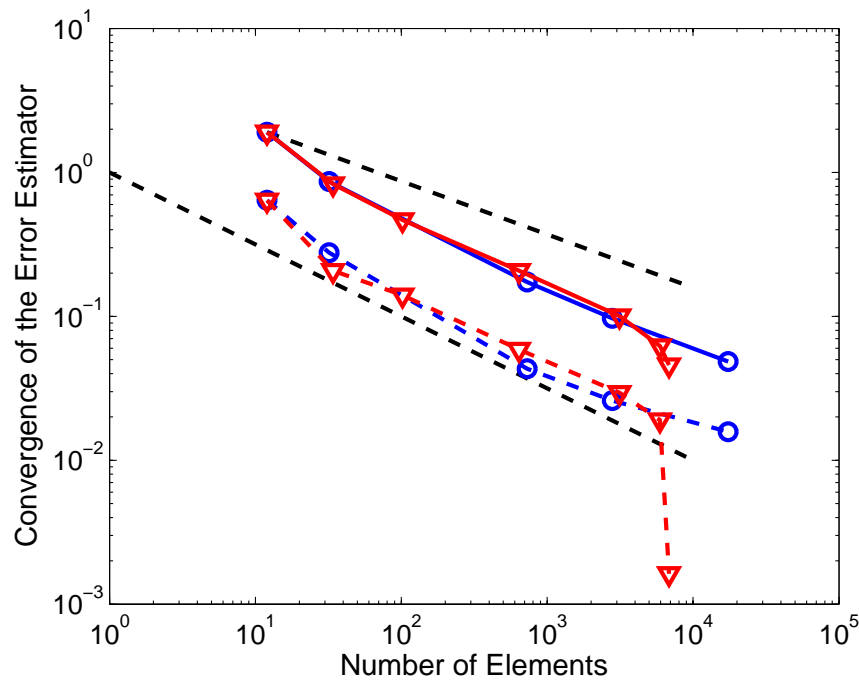


Figure 5: Clamped L-corner: Convergence of the error estimator for the **uniform refinements** and **adaptive refinements**; *Solid* lines for *global*, *dashed* lines for *maximum local* ones.

# Adaptively refined mesh — Error estimator

## Simply supported M-domain

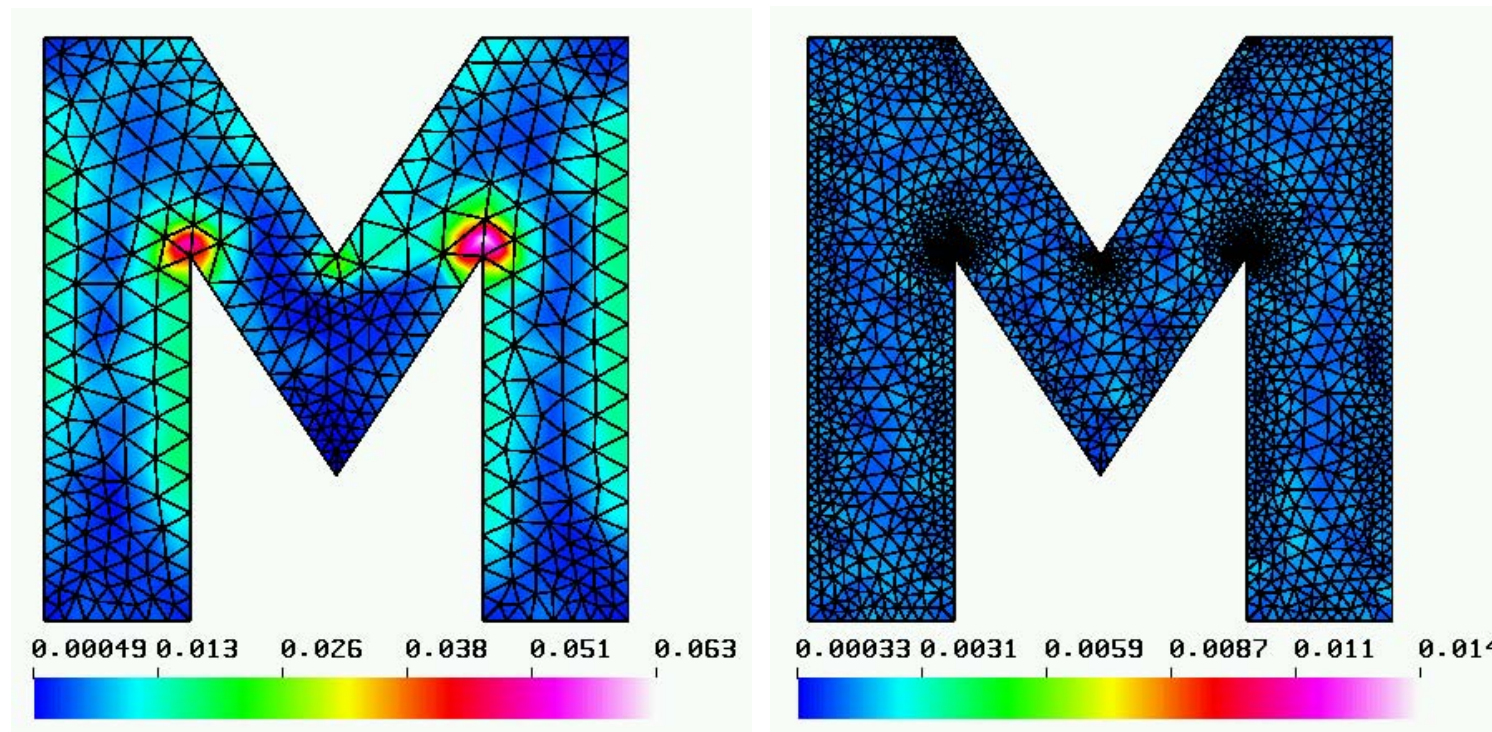


Figure 6: Simply supported M-shaped domain: Distribution of the error estimator for two adaptive steps.

# Uniform vs. Adaptive — Convergence

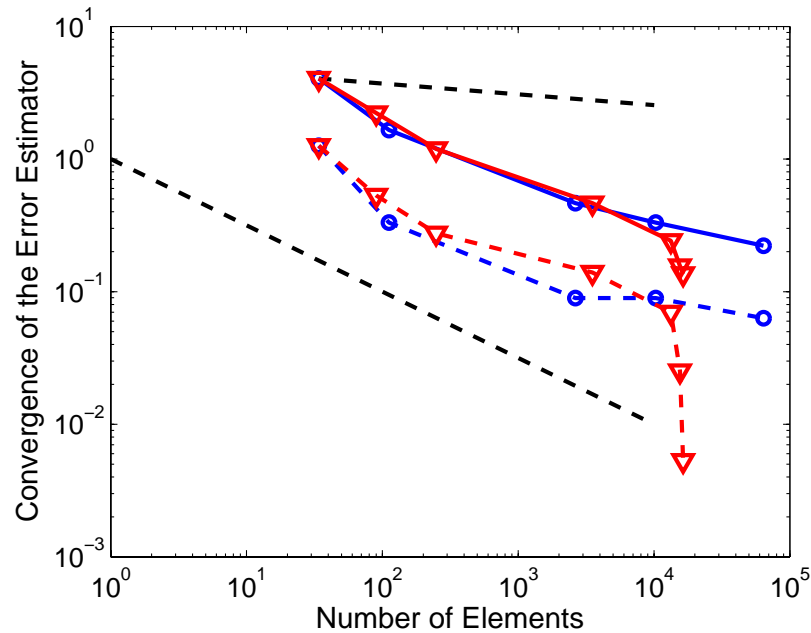


Figure 7: Simply supported M-shaped domain: Convergence of the error estimator for the **uniform refinements** and **adaptive refinements**; *Solid* lines for *global*, *dashed* lines for *maximum local* ones.

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# Conclusions and Discussion

## Advantages

- ▶ **Reliability**: computable (non-guaranteed due to  $C$ ) global upper bound for the error.
- ▶ **Efficiency**: computable (non-guaranteed due to  $C_K$ ) local lower bound.
- ▶ **Robustness**:  $C_K$  independent of the mesh size, data and the solution.
- ▶ **Computational costs**: small (local) compared to solving the problem itself.

## Disadvantages

- ▶ Residual based error estimates in the energy norm only  
— no estimates for other quantities of interest.
- ▶ Method dependent: applicable for the Morley element only  
— although the techniques can be generalized.
- ▶ Valid only for static problem with transversal loading and isotropic, homogeneous, linearly elastic material  
— so far.

# References

- [1] L. Beirão da Veiga, J. Niiranen, R. Stenberg: [A posteriori error estimates for the Morley plate bending element](#); *Numerische Mathematik*, 106, 165–179 (2007).
  
- [2] L. Beirão da Veiga, J. Niiranen and R. Stenberg. [A posteriori error analysis for the Morley plate element with general boundary conditions](#); Research Reports A556, Helsinki University of Technology, Institute of Mathematics, December 2008.  
<http://www.math.tkk.fi/reports>; submitted for publication.